

PRIVACY NOTICE

We Are Committed to Protecting Your Personal Information At Alden Securities we strive to provide you with the highest level of service and quality of investment solutions. In that regard, the privacy of the information you provide to us is very important and we want to assure you that we are committed to protecting your privacy.

This Privacy Statement outlines the types of information we gather about you, how we use it, and with whom we might share it. We request that you read this Privacy Notice so that you will better understand our commitment to you and to your privacy.

The Types of Personal Information We Collect In order to provide you with the highest level of service possible, it is necessary for us to collect and maintain certain personal information about you. We collect this information from applications and other forms, from your dealings with us and others and, depending upon the services and products you have requested or for which we have been asked to provide, we may also receive information from consumer reporting agencies and other sources such as our website.

The types of information we collect and maintain may include:

- Information contained in applications and other forms including, your name, address, social security number, family member information, beneficiaries, assets and income;
- Information concerning your relationships with us such as investment solutions and advisory services being provided, account balances and transactions and payment history;
- Information from consumer reporting agencies such as credit relationships and related history.

How Personal Information is Used We use personal information in ways that are compatible with the purposes for which we originally requested it. Alden Securities may use your personal information for the purpose of processing your requests and transactions, offering or furnishing additional products and services or to evaluate your financial needs. Alden Securities does not share your personal information with unaffiliated Companies except as provided in this Notice.

Alden Securities will only grant access to your personal information to those employees who have a business purpose for accessing such information. In that regard, we have implemented certain physical, electronic and procedural safeguards to ensure that access to personal information is limited to the appropriate employees. Moreover, Alden Securities requires its employees to protect your personal information to maintain confidentiality.

In order to provide you with the appropriate level of service, we may share account and transactional information within Alden Securities. We may also share personal information described above with firms that assist us in carrying out your needs. For example, we may share your personal information for specific business purposes with the following companies:

- Financial service institutions, such as mutual fund companies, securities brokers, and banks, with whom we have a relationship with or maintain joint marketing agreements, or
- Companies under contract to perform services for us or on our behalf (such as vendors providing data processing, computer software maintenance and development, transaction processing and marketing services.)

Be assured that Alden Securities does not sell personal information to anyone. Additionally, we treat the personal information of our former clients in the same manner as we treat the personal information of our current clients.

We also reserve the right to disclose your personal information in certain circumstances where we feel that disclosure is required by law, to assist law enforcement officials or officials of regulatory agencies, to perform credit checks or collect monies owed to us, and to protect our rights and property.

The Accuracy of My Personal Information

At Alden Securities our goal is to maintain current and accurate information about you in order to properly service your needs. Consequently, Alden Securities clients can have reasonable access to their personal information to verify the accuracy of this information. You may either write or call your Alden Securities, advisor to request your personal account information. If you identify any error in your personal information or you would like to update your records, please advise your Alden Securities advisor at your earliest convenience.

Thank you and we appreciate your business.